

Preamble.

Remark.

Gamelin doesn't specify the domains of functions; we often know that a function is analytic on \mathbb{D} or $\overline{\mathbb{D}}$, but such functions could have larger (even disconnected) domains. I have endeavored to be careful about this in some places.

Blaschke factors.

Throughout this document,

$$B_a(z) = \frac{a - z}{1 - \bar{a}z}$$

for any $a, z \in \mathbb{C}$ such that $1 - \bar{a}z \neq 0$.

Recall the facts about B_a proven in hw1.

Observe also that B_a has a zero of order 1 at a , and no other zeros.

Preliminary lemma. Let $f : \mathbb{D} \rightarrow \overline{\mathbb{D}}$ analytically. Let $f(z_0) = 0$ with multiplicity m . Let

$$g = \frac{f}{B_{z_0}}$$

Then

(i) g is analytic on \mathbb{D}

(ii) $|g| \leq 1$ on \mathbb{D} ; equality is attained somewhere in \mathbb{D} iff g is constantly some $\lambda \in \partial\mathbb{D}$ iff equality is attained throughout \mathbb{D} .

(iii) z_0 has multiplicity $m - 1$ as a zero of g .

Note that z_0 need not be a zero of g , since $m - 1$ could be 0.

Proof of lemma.

Write

$$f(z) = (z - z_0)^m F(z)$$

$$B_{z_0}(z) = (z - z_0)G(z)$$

Observe:

- F, G are analytic on \mathbb{D} .
- F is nonvanishing at z_0 .
- G is nonvanishing on \mathbb{D} .

Now

$$g(z) = \frac{f}{B_{z_0}}(z) = (z - z_0)^{m-1} \frac{F(z)}{G(z)}$$

which proves (i) and (iii).

For (ii),

first observe that $|f| < 1$

since $|f| \leq 1$, f has a zero, and f satisfies the maximum modulus principle.

Second, let $h = f \circ B_{z_0}$ and observe that $h : \mathbb{D} \rightarrow \mathbb{D}$ is holomorphic.

Observe also that $h(0) = 0$.

For any $z \in \mathbb{D} \setminus \{z_0\}$, letting $w = B_{z_0}(z)$, we have by the Schwarz lemma:

$$|h(w)| \leq |w|$$

$$\left| \frac{h(w)}{w} \right| \leq 1$$

$$\left| \frac{f(z)}{B_{z_0}(z)} \right| \leq 1$$

Hence $|g| \leq 1$ on $\mathbb{D} \setminus \{z_0\}$, hence on \mathbb{D} by continuity,

hence (ii) by maximum modulus principle.

QED.

IX.1 2.

See **Preamble** and **Preliminary lemma**.

If $|z_0| \geq 1$ then the proof is trivial.

Otherwise, applying the lemma m times, we find that the function

$$g(z) = f(z)/B_{z_0}(z)^m$$

is holomorphic on \mathbb{D} with magnitude ≤ 1 there.

Hence

$$\begin{aligned} |f(0)| &= |g(0)| |B_{z_0}(0)|^m \\ &= |g(0)| |z_0|^m \\ &\leq |z_0|^m \end{aligned}$$

IX.1 3.

f has a zero in \mathbb{D} .

Suppose not.

Then $1/f$ is analytic on $\overline{\mathbb{D}}$, hence

$$1 = \left| \frac{1}{f}(0) \right| \leq \max_{\overline{\mathbb{D}}} \left| \frac{1}{f} \right| = \max_{\partial\mathbb{D}} \left| \frac{1}{f} \right| < 1$$

Contradiction, QED.

f has no zeros in $D_{1/M}(0)$.

Let $z_0 \in \mathbb{D}$ be a root of f ; let m be its multiplicity. Let

$$M' := \max_{\overline{\mathbb{D}}} |f| = \max_{\partial\mathbb{D}} |f| < M$$

z_0 is a root of f/M' with multiplicity m

and $f/M' : \overline{\mathbb{D}} \rightarrow \overline{\mathbb{D}}$ analytically,

hence the previous exercise gives

$$1/M < 1/M' = \left| \frac{f}{M'}(0) \right| \leq |z_0|^m \leq |z_0|$$

QED.

IX.2 2.

$$\begin{aligned}\frac{1+3z^2}{3+z^2} &= \frac{\frac{1}{3}+z^2}{1+\frac{1}{3}z^2} \\ &= \frac{\left(\frac{1}{\sqrt{3}}-iz\right)\left(\frac{1}{\sqrt{3}}+iz\right)}{\left(1-\frac{1}{\sqrt{3}}iz\right)\left(1+\frac{1}{\sqrt{3}}iz\right)} \\ &= \frac{\left(-i\frac{1}{\sqrt{3}}-z\right)\left(i\frac{1}{\sqrt{3}}-z\right)}{\left(1-\frac{1}{\sqrt{3}}iz\right)\left(1+\frac{1}{\sqrt{3}}iz\right)} \\ &= \frac{\left(-i\frac{1}{\sqrt{3}}-z\right)\left(i\frac{1}{\sqrt{3}}-z\right)}{\left(1-i\frac{1}{\sqrt{3}}z\right)\left(1-i\frac{1}{\sqrt{3}}z\right)}\end{aligned}$$

IX.2 3.

See **Preamble** and **Preliminary lemma**.

Applying the lemma four times to the function

$$g = f \circ (z \mapsto 3z)$$

we have

$$\left| \frac{g}{B_{1/3} \cdot B_{i/3} \cdot B_{-1/3} \cdot B_{-i/3}} \right| \leq 1$$

$$|f(0)| = |g(0)| \leq |(B_{1/3} \cdot B_{i/3} \cdot B_{-1/3} \cdot B_{-i/3})(0)| = \frac{1}{81}$$

with equality

iff $g / (B_{1/3} \cdot B_{i/3} \cdot B_{-1/3} \cdot B_{-i/3})$ is constantly (on \mathbb{D}) some $\lambda \in \partial\mathbb{D}$

iff $g = \lambda \cdot (B_{1/3} \cdot B_{i/3} \cdot B_{-1/3} \cdot B_{-i/3})$ on \mathbb{D}

iff

$$f = \lambda \cdot (B_{1/3} \cdot B_{i/3} \cdot B_{-1/3} \cdot B_{-i/3}) \circ (z \mapsto \frac{1}{3}z)$$

on $D_3(0)$.

Hence those f which satisfy the above equation on $D_3(0)$ for some $\lambda \in \partial\mathbb{D}$

are precisely those which attain $|f(0)| = 1/81$

and $1/81$ is the maximum.

IX.2 4.

See the **Blaschke factors** section of **Preamble**.

Answer.

If $z_0 = z_1$, then the maximum value is 0 and every f attains it.

Otherwise, we will show that the maximum is

$$2 - \frac{4}{1 + e^{\rho(z_0, z_1)/2}}$$

(where ρ is hyperbolic distance),
 and that the $f \in \text{End}(\mathbb{D})$ which attain it
 are precisely those which lie in $\text{Aut}(\mathbb{D})$ and satisfy $f(z_0) + f(z_1) = 0$;
 further, we will show that these f
 are precisely the functions $\lambda B_{\text{h.mp.}(z_0, z_1)}$ for $\lambda \in \partial\mathbb{D}$,
 where h. mp. denotes hyperbolic midpoint.

Definition. Let $z_0, z_1 \in \mathbb{D}$. A **hyperbolic midpoint** of z_0, z_1 is a point $a \in \mathbb{D}$ such that

$$\rho(z_0, a) = \rho(a, z_1) = \frac{1}{2}\rho(z_0, z_1)$$

Theorem 1. *Every pair of points $z_0, z_1 \in \mathbb{D}$ has a unique hyperbolic midpoint; it lies on the geodesic from z_0 to z_1 .*

Proof.

Let $\gamma : [0, 1] \rightarrow \mathbb{D}$ be continuously differentiable and parametrize the geodesic from z_0 to z_1 ,
 with $\gamma(0) = z_0$ and $\gamma(1) = z_1$.

(In particular, the length of γ is $\rho(z_0, z_1)$.)

Observe that $\forall t \in [0, 1]$:

$\gamma|_{[0, t]}$ parametrizes the geodesic from z_0 to $\gamma(t)$ and

$\gamma|_{[t, 1]}$ parametrizes the geodesic from $\gamma(t)$ to z_1 .

Hence:

$$\begin{aligned} \rho(z_0, z_1) &= 2 \int_0^1 \frac{|\gamma'(s)|}{1 - |\gamma(s)|^2} ds \\ &= 2 \int_0^t \frac{|\gamma'(s)|}{1 - |\gamma(s)|^2} ds + 2 \int_t^1 \frac{|\gamma'(s)|}{1 - |\gamma(s)|^2} ds \\ &= \rho(z_0, \gamma(t)) + \rho(\gamma(t), z_1) \end{aligned}$$

Clearly $t \mapsto \rho(z_0, \gamma(t))$ is continuous in t ,
 so the intermediate value theorem proves that for some t ,
 $a := \gamma(t)$ is a hyperbolic midpoint of z_0, z_1 .

This proves existence.

We now prove uniqueness among points on the geodesic.

If $t_0 < t_1 \in [0, 1]$ with $\gamma(t_0) \neq \gamma(t_1)$, then

$$\begin{aligned} \rho(z_0, \gamma(t_1)) - \rho(z_0, \gamma(t_0)) &= 2 \int_{t_0}^{t_1} \frac{|\gamma'(s)|}{1 - |\gamma(s)|^2} ds \\ &\neq 0 \end{aligned}$$

so $\rho(z_0, \gamma(t_0)) \neq \rho(z_0, \gamma(t_0))$,
so a is the only point on the geodesic satisfying $\rho(z_0, a) = \frac{1}{2}\rho(z_0, z_1)$.

Now if b is a hyperbolic midpoint of z_0, z_1 ,
we have $\rho(z_0, b) + \rho(b, z_1) = \rho(z_0, z_1)$.
Letting γ_0 be the geodesic from z_0 to b and γ_1 the geodesic from b to z_1 ,
we find that the concatenation (joining) of γ_0, γ_1 is a path of shortest length from z_0 to z_1 ,
i.e. it is their geodesic,
hence $b = a$ by the uniqueness of the hyperbolic midpoint on the geodesic.
This proves uniqueness in general, and completes the theorem.

Theorem 2. *Let $z_0, z_1 \in \mathbb{D}$. Then $\text{h. mp.}(z_0, z_1) = 0 \iff z_0 + z_1 = 0$.*

Proof.

Gamelin showed that hyperbolic geodesics passing through 0 are straight lines.
Hence for any $z \in \mathbb{D}$: $\gamma(t) = tz$ is the geodesic from 0 to z and

$$\begin{aligned} \rho(z, 0) &= 2 \int_0^1 \frac{|\gamma'(t)| dt}{1 - |\gamma(t)|^2} \\ &= 2 \int_0^1 \frac{|z| dt}{1 - t^2 |z|^2} \\ &= 2 \int_0^{|z|} \frac{dr}{1 - r^2} \end{aligned}$$

This is strictly increasing as a function of $|z|$,
so $\rho(0, z)$ is *uniquely* determined by $|z|$ (and vice versa).

\Leftarrow :

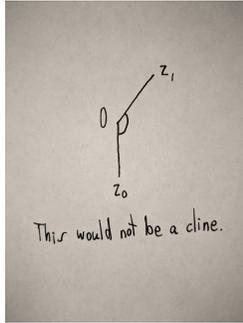
Suppose $z_0 + z_1 = 0$.
Then the straight line between them
is a cline orthogonal to $\partial\mathbb{D}$
hence is their geodesic.
It passes through 0, so

$$\rho(z_0, 0) + \rho(0, z_1) = \rho(z_0, z_1)$$

(We showed in the proof of the previous theorem
that this equation holds when 0 is replaced
with any point on the hyperbolic shortest path from z_0 to z_1 .)
We also have $|z_0| = |z_1|$ by hypothesis
hence $\rho(z_0, 0) = \rho(z_1, 0) = \frac{1}{2}\rho(z_0, z_1)$
hence $\text{h. mp.}(z_0, z_1) = 0$.

\Rightarrow :

Suppose $\text{h. mp.}(z_0, z_1) = 0$.
Assume $z_0 \neq z_1$ (otherwise trivial).
Then $|z_0| = |z_1|$.
Let γ_0, γ_1 be the geodesics from z_0 to 0 and 0 to z_1 respectively.
The end of γ_0 meets the start of γ_1 at 0, with an angle of $\arg z_1 - \arg z_0$.
The concatenation (joining) of γ_0, γ_1 forms this angle at 0,
hence it can only be a cline if the angle is straight (180°);
indeed, if the angle was not straight, we would have



The concatenation has length $\rho(z_0, 0) + \rho(0, z_1) = \rho(z_0, z_1)$ (which is the shortest length of any path from z_0 to z_1), so it is a geodesic, hence a cline, hence the angle is straight, meaning that z_0, z_1 have opposite direction. Since z_0, z_1 have same magnitude and opposite direction, we conclude that $z_0 + z_1 = 0$.

Observation.

If $f \in \text{Aut}(\mathbb{D})$,
then for any $z_0, z_1 \in \mathbb{D}$:

$$f(\text{h. mp.}(z_0, z_1)) = \text{h. mp.}(f(z_0), f(z_1))$$

because f is an isometry with respect to ρ .

Theorem 3. Let $z_0, z_1 \in \mathbb{D}$, $a = \text{h. mp.}(z_0, z_1)$, $R = |B_a(z_0)| = |B_a(z_1)|$. Then

$$|z_0 - z_1| \leq 2R$$

with equality iff $a = 0$.

(Note that R is well-defined because $\text{h. mp.}(B_a(z_0), B_a(z_1)) = B_a(a) = 0$.)

Proof.

Let $\lambda = B_a(z_0)/R$, so that $z_0 = \lambda R$ and $z_1 = -\lambda R$.

Observe that, since $0 \leq R < 1$:

$$\frac{1 - |a|^2}{|1 - (\bar{a}\lambda R)^2|} \leq 1$$

with equality iff $a = 0$.

Now

$$\begin{aligned}
|z_0 - z_1| &= |B_a(\lambda R) - B_a(-\lambda R)| \\
&= \left| \frac{a - \lambda R}{1 - \bar{a}\lambda R} - \frac{a + \lambda R}{1 + \bar{a}\lambda R} \right| \\
&= \left| \frac{(a - \lambda R)(1 + \bar{a}\lambda R) - (a + \lambda R)(1 - \bar{a}\lambda R)}{1 - (\bar{a}\lambda R)^2} \right| \\
&= \left| \frac{(a - \lambda R + |a|^2\lambda R - \bar{a}(\lambda R)^2) - (a + \lambda R - |a|^2\lambda R - \bar{a}(\lambda R)^2)}{1 - (\bar{a}\lambda R)^2} \right| \\
&= \left| \frac{-2\lambda R + 2|a|^2\lambda R}{1 - (\bar{a}\lambda R)^2} \right| \\
&= 2R \frac{1 - |a|^2}{|1 - (\bar{a}\lambda R)^2|} \\
&\leq 2R
\end{aligned}$$

with equality iff $a = 0$.

Theorem 4. *Let z_0, z_1, a, R be as in the previous theorem; let $\rho = \rho(z_0, z_1)$. Then*

$$2R = 2 - \frac{4}{1 + e^{\rho/2}}$$

Proof.

$$\begin{aligned}
\rho &= 2 \int_{-R}^R \frac{dr}{1 - r^2} \\
&= 2 \int_{-R}^R \frac{1}{2} \left(\frac{1}{1+r} + \frac{1}{1-r} \right) dr \\
&= 2 \frac{1}{2} [\log(1+r) - \log(1-r)]_{r=-R}^R \\
&= \log \frac{1+R}{1-R} - \log \frac{1-R}{1+R} \\
&= 2 \log \frac{1+R}{1-R} \\
&= 2 \log \left(-1 + \frac{2}{1-R} \right)
\end{aligned}$$

$$\rho = 2 \log \left(-1 + \frac{2}{1-R} \right)$$

$$1 + e^{\rho/2} = \frac{2}{1-R}$$

$$\frac{2}{1 + e^{\rho/2}} = 1 - R$$

$$\frac{2}{1 + e^{\rho/2}} - 1 = -R$$

$$R = 1 - \frac{2}{1 + e^{\rho/2}}$$

$$2R = 2 - \frac{4}{1 + e^{\rho/2}}$$

Note.

Recall from Gamelin that for any $f \in \text{End}(\mathbb{D})$ and any distinct $z_0, z_1 \in \mathbb{D}$:

$$\rho(f(z_0), f(z_1)) \leq \rho(z_0, z_1)$$

with equality iff $f \in \text{Aut}(\mathbb{D})$.

Conclusion.

Let $f \in \text{End}(\mathbb{D})$ and $z_0, z_1 \in \mathbb{D}$, with $z_0 \neq z_1$.

Let $a = \text{h. mp.}(z_0, z_1)$.

Then

$$|f(z_0) - f(z_1)| \leq 2 - \frac{4}{1 + e^{\rho(f(z_0), f(z_1))/2}} \leq 2 - \frac{4}{1 + e^{\rho(z_0, z_1)/2}}$$

with equality in the first iff $f(z_0) + f(z_1) = 0$,

and equality in the second iff $\rho(f(z_0), f(z_1)) = \rho(z_0, z_1)$ iff $f \in \text{Aut}(\mathbb{D})$.

If $f \in \text{Aut}(\mathbb{D})$,

then equality holds in the first iff $\text{h. mp.}(f(z_0), f(z_1)) = 0$

iff $f(a) = 0$

iff $f = \lambda B_a$ for some $\lambda \in \partial\mathbb{D}$.

Hence

$$|f(z_0) - f(z_1)| \leq 2 - \frac{4}{1 + e^{\rho(z_0, z_1)/2}}$$

with equality iff $f \in \text{Aut}(\mathbb{D})$ and $f(z_0) + f(z_1) = 0$

iff $f = \lambda B_a$ for some $\lambda \in \partial\mathbb{D}$.